

# **Procedures for the State of Kansas Information Technology Security Self-Assessment**

**Version 2.0 July 1, 2007**

## **General Information**

The State of Kansas has adopted Information Technology Policy 7310 - State of Kansas Information Technology Security Self-Assessment.

This document should be used to provide guidance as to how state entities are to comply with the policy. State entities shall use the State entities Procedures below whereas Regents Institutions will use the Regents Procedures below.

## **Procedures**

### **State entities Procedures:**

The National Institute of Standards and Technology (NIST) developed the original Security Self-Assessment to evaluate federal agencies. The ITEC Security Council modified the document to make it more appropriate for Kansas state government. Our state version, the State of Kansas Information Technology Security Self-Assessment (Kansas Security Self-Assessment) consists of a Microsoft Excel document that is a composite utilizing information from three documents from NIST:

- NIST 800-26: Guide for Information Security Program Assessments and System Reporting Form
- NIST 800-53: Recommended Controls for Federal Information Systems
- NIST 800-53A: Guide For Assessing the Security Controls in Federal Information Systems

The Kansas Security Self-Assessment was specifically tailored for assessing State of Kansas systems at the Moderate Level. All audit steps were pulled from 800-53A and inserted under the appropriate control.

There are six possible ratings for each question:

- 0- We do not do at all.
- 1- We have an Informal Practice Only
- 2- We have written Policy or Procedures
- 3- We have Written Policy and Procedures Implemented
- 4- We have Written Procedures Reviewed and Tested
- na- It is not applicable for us.

All entities shall complete the Kansas Security Self-Assessment by October 1<sup>st</sup> of each year.

All entities shall initially complete the Kansas Security Self-Assessment in electronic form, maintain it, and update it annually thereafter.

The ITEC Security Council will provide annual guidance regarding the completion and submission of the Kansas Security Self-Assessment.

The ITEC Security Council will compile the entity submitted documents into a summary document, and all submitted documents will be handled in confidence.

The ITEC Security Council will report which entities completed the assessment, and those that did not to ITEC.

The ITEC Security Council will report the results of the assessment and recommendations to ITEC and ITAB in summary form with no information identifiable by entity. The recommendations will be at the individual entity level and at the Kansas Enterprise level.

### **Regents Procedures:**

Please go to <http://www.Kansasregents.org/board/committees/computer.html>